

**Math 451: Intro. to  
General Topology**

**HOMEWORK 2**

**Due: Feb 3, 23:59**

**Definition.** Let  $\Sigma$  be a countable set and let  $\Sigma^{<\mathbb{N}} := \bigcup_{n \in \mathbb{N}} \Sigma^n$  denote the set of all finite words in  $\Sigma$ . For a finite word  $u \in \Sigma^{<\mathbb{N}}$  and a finite or infinite word  $v \in \Sigma^{<\mathbb{N}} \cup \Sigma^{\mathbb{N}}$ , we

- denote by  $uv$  for the concatenation of  $u$  and  $v$ .
- write  $u \leq v$  if  $v$  extends  $u$ , i.e.  $v = uv'$  for some  $v' \in \Sigma^{<\mathbb{N}} \cup \Sigma^{\mathbb{N}}$ .

Call a subset  $T \subseteq \Sigma^{<\mathbb{N}}$  a **tree** on  $\Sigma$  if it is nonempty and closed downward under  $\leq$ , i.e.  $v \in T$  implies  $u \in T$  for all  $u \leq v$ . In particular, the empty word is always in  $T$ . For a tree  $T$  on  $\Sigma$ , denote by  $[T]$  the set of infinite branches through  $T$ , i.e.

$$[T] := \left\{ x \in \Sigma^{\mathbb{N}} : \forall n \in \mathbb{N}, x|_n \in T \right\}.$$

1. Let  $(X, d)$  be a metric space and  $Y \subseteq X$ . Show that a subset  $U \subseteq Y$  is open relative to  $Y$  (i.e. in the subspace  $Y$ ) if and only if  $U = V \cap Y$  for some open  $V \subseteq X$  open (in the space  $X$ ).

2. Prove that every open subset  $U$  of  $\mathbb{R}$  is a countable union of disjoint open intervals.

HINT: Every  $x \in U$  is contained in a maximal open interval  $I$  contained in  $U$  (obtain  $I$  by taking the union of all open intervals  $J$  with  $x \in J \subseteq U$ ). Then  $U$  is a union of maximal open intervals. These are pairwise disjoint, hence each contains a rational that others don't contain, so there are only countably many maximal intervals.

3. Let  $\Sigma$  be a countable nonempty set. Define  $d : \Sigma^{\mathbb{N}} \times \Sigma^{\mathbb{N}} \rightarrow [0, \infty)$  by

$$d(x, y) := \begin{cases} 2^{-\iota(x, y)} & \text{if } x \neq y \\ 0 & \text{otherwise,} \end{cases}$$

where  $\iota(x, y)$  is the first index  $i \in \mathbb{N}$  such that  $x(i) \neq y(i)$ . Prove:

- (a)  $d$  is an ultrametric on  $\Sigma^{\mathbb{N}}$ , i.e. it satisfies the first two metric axioms, as well as the following stronger inequality than the triangle inequality: for all  $x, y, z \in \Sigma^{\mathbb{N}}$ ,

$$d(x, z) \leq \max\{d(x, y), d(y, z)\}.$$

- (b) Prove that a subset  $C \subseteq \Sigma^{\mathbb{N}}$  is closed if and only if  $C = [T]$  for some tree  $T \subseteq \Sigma^{<\mathbb{N}}$ .
- (c) A sequence  $(x_n) \subseteq \Sigma^{\mathbb{N}}$  converges to  $x \in \Sigma^{\mathbb{N}}$  if and only if for each index  $i \in \mathbb{N}$ , the sequence  $(x_n(i))$  is eventually  $x(i)$ , i.e.  $\forall^\infty n \in \mathbb{N}, x_n(i) = x(i)$ .

4. Let  $(x_n)$  be a sequence in a metric space  $X$  and  $x \in X$ . Prove that  $\lim_n x_n = x$  if and only if every subsequence  $(x_{n_k})_{k \in \mathbb{N}}$  has a further subsequence  $(x_{n_{k_\ell}})_{\ell \in \mathbb{N}}$  which converges to  $x$ .

5. Let  $(X)$  be a metric space and  $Y \subseteq X$ . Prove that the following are equivalent:

- (1)  $Y$  is closed.

- (2)  $Y = \bar{Y}$ .
- (3)  $Y$  contains all its adherent points.
- (4) For every convergent sequence of elements from  $Y$ , its limit is also in  $Y$ .

REMARK: Your proofs should be one sentence per implication, just putting together what we have proved in class.

6. Let  $(X, d)$  be a metric space and  $Y \subseteq X$ .
- (a) Prove that for each  $x \in X$ ,  $d(x, Y) = 0$  if and only if  $x \in \bar{Y}$ .
  - (b) For each  $r > 0$ , call the set  $B_r(Y) := \{x \in X : d(Y, x) < r\}$  the **open  $r$ -ball** around  $Y$ . Prove that  $B_r(Y)$  is an open set (regardless of what kind of set  $Y$  is).
  - (c) Prove that  $\bar{Y} = \bigcap_{n \in \mathbb{N}^+} B_{1/n}(Y)$ .
  - (d) Conclude that every closed set is  $G_\delta$  (i.e. a countable intersection of open sets), and hence every open set is  $F_\sigma$  (i.e. a countable union of closed sets).
7. (a) Prove that in metric spaces contractive sequences are Cauchy.  
 (b) Let  $(x_n) \subseteq \mathbb{R}$  be defined recursively by

$$x_0 := 0; \quad x_1 := 1;$$

$$x_{n+2} := \frac{1}{2}(x_n + x_{n+1}).$$

Prove that  $(x_n)$  is contractive and convergent, and find the limit.

8. Prove that for each nonempty set  $\Sigma$ , the space  $\Sigma^{\mathbb{N}}$  with the usual metric is complete.  
 HINT: Look at the picture in Lecture 8 at the bottom of page 2.
9. Define a complete metric  $d$  on  $\mathbb{N}$ , such that the sets  $B_n := \{n, n+1, n+2, \dots\}$  are closed balls. Thus, we would have a decreasing sequence  $(B_n)$  of closed balls in a complete metric space with empty intersection. This would demonstrate the necessity of the vanishing diameter condition.

HINT: For completeness, it suffices to make sure that  $d(n, m) \geq 1$  for all  $n \neq m$ . For each  $n \in \mathbb{N}$ , the numbers greater than  $n$  should be closer to  $n$  than those smaller than  $n$ .

10. [Optional] Let  $X$  be a set. A **pseudo-metric** on  $X$  is a function  $d : X \times X \rightarrow [0, \infty)$  satisfying all axioms of metric except  $d(x, y) = 0 \implies x = y$ ; thus it satisfies, for all  $x, y, z \in X$ ,

- (i)  $d(x, x) = 0$ ;
- (ii)  $d(x, y) = d(y, x)$ ;
- (iii)  $d(x, z) \leq d(x, y) + d(y, z)$ .

Define a binary relation  $\sim$  on  $X$  by setting  $x \sim y$  when  $d(x, y) = 0$ .

- (a) Show that  $\sim$  is an equivalence relation on  $X$ .

(b) Let  $\widetilde{X}$  denote the quotient  $X/\sim$ , and define  $\widetilde{d} : \widetilde{X} \times \widetilde{X} \rightarrow [0, \infty)$  by setting

$$\widetilde{d}(C_1, C_2) := d(x_1, x_2),$$

where  $x_i$  is an arbitrary representative of  $[x_i]_{\sim}$  for  $i = 1, 2$ . Prove that  $\widetilde{d}$  is well-defined, i.e. does not depend on the choice of representatives  $x_1, x_2$  of the  $\sim$ -classes  $C_1, C_2$ . Furthermore, prove that  $\widetilde{d}$  is a (genuine) metric on  $X/\sim$ .

REMARK: This shows that every pseudo-metric space can be upgraded to an actual metric space.